# Combining cancer research, next generation sequencing and Machine Learning

(so-called Buzzword galore)

-The art of applying machine learning to data that is not really fit for it

Ceren Kocak, Christoffer S. Bangsgaard, Lau K. Vestergaard & Signe Poulsen

- All members of the group contributed equally to the project.

## **Outline of presentation**

- Brief intro
- Understanding the data (and the preprocessing)
- Aim
- Working with data per mutation
  - Dimensionality reduction
  - Clustering
- Working with data per patient
  - PCA
  - Oversampling and auto encoding
  - Classification
- Evaluating results
- Overall conclusion
- Appendix

## Brief introduction to ovarian cancer

- Ovarian Cancer ranks 5th in cancer deaths among women and is the most lethal gynecologic malignancy.
- Poor 5 year survival rate in late stages
- There are 5 subtypes of ovarian cancer
- Typed using microscopy
- Data was kindly made available by the Molecular Unit, Department of Pathology, Herlev Hospital.





#### **Patient domain:**

#### Cancer subtype representation:

High Grade Serous Carcinoma:	38 (76%)
Ovarian Clear Cell Carcinoma:	4 (8%)
Mucinous Carcinoma:	4 (8%)
Endometrioid Carcinoma:	3 (6%)
Fallopian Tube Carcinoma:	1 (2%)

Cancer stage representation:								
<u> </u>	<u>II</u>	<u>III</u>	<u>IV</u>					
8 (16%)	5(10%)	30 (60%)	7 (14%)					

#### Data structure

#### Mutation domain: ~38.000

caused % frequency of Location of Observed Type of bv observed mutation mutation mutation mutation mutation Gene Type of location

3	1	loc	cus	genotype	filter	ref	served_all	type	subtype	no_call_reason	cnv_p-value	genes	location	length	hine_varia	mine_gen	ppy_numb	e cytoband	info	variant_id	ariant_nam	%_frequency	amino_acid_change
1	2 C	:hr1:2	2491:	т/т	PASS	С	Т	SNV				TNFRSF14	TNFRSF14:intronic:NM_003820.3	1						vc.novel.3		99,59	p.?
	3 C	:hr1:2	2491:	C/T	PASS	С	Т	SNV				TNFRSF14	TNFRSF14:exonic:NM_003820.3	1						vc.novel.4		2,69	p.Arg109Trp
2	4 c	hr1:6	62525	CCACAC/CC	PASS	CCACAC	CCAC	INDEL				RPL22	RPL22:intronic:NM_000983.4	2						vc.novel.5		45,97	p.?
2	5 C	hr1:6	62578	T/C	PASS	т	С	SNV				RPL22	RPL22:intronic:NM_000983.4	1						vc.novel.6		49,87	p.?
i.	5 C	hr1:6	62571	G/A	PASS	G	A	SNV				RPL22	RPL22:intronic:NM_000983.4	1						vc.novel.7		49,15	p.?
ň	7 c	hr1:1	10459	G/A	PASS	G	A	SNV				PGD	PGD:intronic:NM_002631.4	1						vc.novel.9		53,85	p.?
	BC	:hr1:1	10460	T/C	PASS	т	С	SNV				PGD	PGD:exonic:NM_002631.4	1						vc.novel.10		51,8	p.Asp40=
	) c	hr1:1	1047:	C/T	PASS	С	Т	SNV				PGD	PGD:exonic:NM_002631.4	1						vc.novel.11		51,15	p.Asp244=
1	0 c	hr1:1	10475	G/A	PASS	G	A	SNV				PGD	PGD:intronic:NM_002631.4	1						vc.novel.12		46,53	p.?

#### Furthermore:

- Protein family (words)
- Gene ontology (words)
- Verdict (Pathogenic, benign, unknown)

Ribosan 2.1.23 protoin timily ..... Ribo A polymerase II transcription factor binding, extracellular exos Ribo A polymerase II transcription factor binding, extracellular exos A polymerase II transcription factor binding, extracellular exos 6-ph A polymerase II transcription factor binding, extracellular exos 6-pł erm formation, axon guidance, blood vessel endothelial cell pro 6-p rm formation, axon guidance, blood vessel endothelial cell prol 6-p erm formation, axon guidance, blood vessel endothelial cell pro Dor rm formation, axon guidance, blood vessel endothelial cell pro RN/ pinding, 4 iron, 4 sulfur cluster binding, aerobic respiration, elec RN/ pinding,4 iron, 4 sulfur cluster binding, aerobic respiration, elec RN/ transcription factor activity, RNA polymerase II-specific, RNA p RN/ WI/SNF complex, and rogen receptor signaling pathway, brahma WI/SNF complex, and rogen receptor signaling pathway, brahma WI/SNF complex androgen recentor signaling pathway brahma

#### Data cleaning by:

- Removing columns that contain redundant or limited information
- One hot encode (e.g. Amino acids)
- Term frequency (TF-IDF) to find 100 most frequent words for protein family and gene ontology
- Converting character data to numerical ordinal

AA

change

## Aim

#### Overall aim

- To explore what these large datasets tell us about each patient.
- To investigate the possibility of extracting data on a mutation and patient basis
- Knowing more about patient mutation profiles may help doctors prioritize treatment options for the individual patient

#### How will this be obtained?

- Clustering (unsupervised) → Obtain information concerning <u>Unknown</u> mutations.
- Do these group close to <u>Benign</u> mutations or <u>Pathogenic</u> mutations?
  - t-distributed stochastic neighbor embedding (t-SNE)
  - Clustering
- Classification (supervised) → Prediction of cancer subtype
  - Oversampling and Auto Encoding
  - Convolutional Neural Network (CNN)

T-sne projection of mutations on all patients, colored by Verdict

20

tSNF1

Colored by chromosome

60

20

-20

-40

-60

-60

60

40

## **Reducing dimensionality with t-SNE**

- Look at data in the mutation domain
- SNE2 t-SNE to two dimensions, color by different features to investigate the clustering
- Color by all features, some information but not much
- Hyperparameter optimization perplexity
- At first on 10 patients, nice separation, then applying to all 50 patients, not as clear picture
- Color by verdict, no clear picture about the unknown mutations
- Mutation distribution: Unknown (15.866), Benign (22.844), Pathogenic (83)



BENIGN PATHOGENIC

20

## **Clustering based on t-SNE**

- Input data: 2 dimensions from t-SNE into DBSCAN and K-means
- Colored by cluster number
- DBSCAN and K-means perform a bit different, more clusters in DBSCAN, bigger one in the middle
- Once again illustrates that there are different trends in data, DBSCAN shows big lump of similar mutations in the middle
- In order to fully extract meaning of t-SNE and clustering more hyperparameter optimization is needed



## Moving on to classification

• The aim was to classify patients within subtypes of cancer (Multiclass and Binary)

#### Problems to handle before classification

- Not all patients had same amount of mutations, therefore not the same length
- The classes of cancer subtypes were highly unbalanced
- We needed more patients to train on

#### **Dimensionality Reduction with PCA**



## Generating new "patients"

#### Autoencoding

- Use Variational Autoencoder to generate more patients
- Variational Autoencoder was first made for MNIST.
- Encoder: Reducing input X to a lower (gaussian dist.) dimensional space (Z)
- Decoder: uses input (Z) together with probability distribution to output.
- Inspecting latent space for multi-classification, no way to separate groups entirely, therefore decide not to perform multi-classification.

#### Oversampling with SMOTE

- Not satisfied with the first generated patients, decided to oversample class "other" with SMOTE before VAE.
- SMOTE visibly improved the simulated patient

#### Patient representation in the 2D latent space (binary classes)



#### Architecture of the CNN Architecture converted from MNIST CNN



## How did we perform in classification?

- Chose to benchmark CNN performance with boosted decision tree (LightGBM)
- Classification only on the real patients (train = 40, test = 9)
  - Results sound great right ... but inspecting predictions, it predicts all samples as 1 (High Grade Serous)
- Classification on both simulated and real patients, something seems weird.
- Performs better on the simulated than on the real patients, a bit concerning

Accuracy scores (test set)	Training a Real pa	nd test data: tients (49)	Training data: Both simulated and real patients Binary classification (697)					
()	Binary (38+11)	Multiclass (38+4+4+3)	Only sim. patients in test-set	Both sim. and real patients in test-set	Only real patients in test-set			
LightGBM	77,78%	77,78%	100%	98,56%	85,00%			
CNN	80% 66,66%		100%	96,00%	66,67%			

## Why did the classification perform like this?

Plotting CNN convoluted PCAs and reshaped input PCAs (for LGBM)

- Real patients are separated (different) from simulated patients for both CNN and LGBM.
- The input PCA's different subtypes are closely clustered.
- CNN shows that the differences are learnable we just don't have enough data.
  - $\circ$  The convoluted real patients are separated by subtype in the training set not in test set



Labels show the true cancer subtype, the shapes show the predicted subtypes(1 is Serous, 0 is other.)

#### **Overall conclusion**

#### Unsupervised clustering

- No clear picture for unknown-effect-mutations but most trend with Benign.
- Maybe too much information in the dataset to be mapped to 2 dimensions
- t-SNE showed potential for 10 patients, more time might have made it possible to optimize for 50 patients

#### Supervised classification

- ML can be done on DNA sequencing, but we need more patients to train on
- The simulated patients turned out to be too different from the real patients
  - Not good to train on, the classification of real patients were then not good.
- Even though many ML tricks were applied it is the lacking of real patients that makes the classification performance poor.

#### What to investigate next?

- Find more patients
- Sequencing-data is covered by GDPR legislation, hard to get access to more data/patients publicly in databases
- Divide the real patients into smaller chunks (e.g. chromosomes) and consider them as patients themselves
- Survivability predictor / how responsive a patient may be to a treatment we have a lot of information for each patient this information may be hidden within.

## Appendix

Table of contents:

- Data preprocessing
- Simulating new patients
- CNN
- LightGBM
- Dimensionality reduction and clustering

## **Appendix: Data Preprocessing**

#### Protein family and Gene-ontology (text columns)

#### Term Frequency - Inverse Document Frequency (TF-IDF)

How the word cells were transformed into vectors



Ribo

6-ph

Dom

RNA

RNA

RNA

RNA

18

- 1.0

- 0.8

- 0.6

- 0.4

- 0.2

. 0 0

- 0.8

- 0.6

- 0.4

## Appendix: Simulating new patients

#### Variational Auto Encoder on MNIST

Dataset size: 76

Dataset size: 70000

Input

3

We tried to applicate our situation of 76 patient to the MNIST data set, which point towards that our issue is highly related to data size.

It is clear to see that the output of the VAE performs much worse when input is only 76 cases



## Variational Auto-Encoder (VAE)

Trouble alert: Small dataset of 50 patients - the majority being High Grade Serous Carcinoma leaves an unbalanced data set and an issue for classification.

Solution: Generating 12x12 pixel pictures of "new" patients from the 2D latent space

Encoder-Input-Layer [(None, 144)] input: InputLaver [(None, 144)] output: Encoder-Hidden-Layer-1 input: (None, 144) Dense output: (None, 64) Encoder-Hidden-Laver-2 (None, 64) input: Dense (None, 16) output: Encoder-Hidden-Laver-3 input: (None, 16) Dense output: (None, 8) Z-Mean (None, 8) Z-Log-Sigma (None, 8) input: input: Dense (None, 2) Dense (None, 2) output: output: [(None, 2), (None, 2)] Z-Sampling-Layer input: Lambda output (None, 2)

Input-Z-Sampling	in	put:	[()	Jone, 2)]	
InputLayer	ou	tput:	[()	lone, 2)]	
	<b>V</b>				
Decoder-Hidden-Layer	-1	inpu	it:	(None, 2	)
Dense		outp	ut:	(None, 8	9
	<b>V</b>	•			
Decoder-Hidden-Layer-	-2	input:		(None, 8)	
Dense		outpu	.it:	(None, 16)	
	Ţ				
Decoder-Hidden-Layer-	-3	input:		(None, 16	
Dense		output:		(None, 64)	
	Ţ				
Decoder-Output-Layer		input:		(None, 64)	
Dense		output	: (	None. 144	e)



21

The architecture of the VAE

#### Latent space, multiclass

Two classes, High grade serous = 1, Clear cell = 2

Because they cannot be separated in the latent space we decided to not do multi-classification





#### 2D Latent Space of binary classification

#### Not best separation of classes, but some kind of separation

Patient representation in the 2D Latent Space



## It was chosen to simulate new patients within these boxes in the latent space

#### Patient representation in the 2D Latent Space



#### VAE model training with early stopping.

Model Loss by Epoch



## SMOTE oversampling technique

Not satisfied with the first simulation of patients, therefore try SMOTE

Simulate as many patients as in the biggest group (38) for the other classes.

Simulate more patients in the other classes, will give autoencoder the possibility to train better, hopefully make simulated auto-encoded patients better



O Majority class samples

Minority class samples

Randomly selected minority class sample  $x_i$ 

 $\bigoplus$  5 *K*-nearest neighbors of  $x_i$ 

Randomly selected sample  $\hat{x}_i$ from the 5 neighbors

Generated synthetic minority instance

#### **Difference with and without SMOTE**

Without SMOTE:

100 simulated patients in the High Grade Serous class



With SMOTE:

100 simulated patients in the High Grade Serous class



#### New simulated patients, binary, with SMOTE



Class 0, Other



# Comparison of performance of VAE with and without SMOTE

Examples of a single patient. - SMOTEing seems to improve simulated patients as it removes some of the random noise around the diagonal line.

When looking at patients a lot of variation lies around the diagonal, so this might be important to replicate.

Simulated patient without SMOTE



Simulated patient with SMOTE



Real patient (target)



## Generate "new" patients/data

#### Combining <u>S</u>ynthetic <u>M</u>inority <u>O</u>versampling <u>TE</u>chnique and <u>V</u>ariational <u>A</u>uto<u>E</u>ncoders

- Machine learning models learns poorly when one class dominates the other.
- Little data compromise model performance.







# **Appendix: CNN**

## **Training CNN without SMOTE**

Training and test

data.

Accuracy



scores (test set)	data: Real patients (49)	and re Binary clas	eal patients ssification (697)	0.50	0	20	40 With sim	
	Binary (38+11)	Both sim. and real patients in test-set	Only real patients in test-set	0.7 - 0.6 - 0.5 - 0.4 -		2		
CNN	80%	98%	80%	0.3 -				
				01-		V .		

Training data: Both simulated

and real nationts

Loss plots have many small spikes, this might hint that the batch size could be optimized - as too small batch sizes might not span all the different classes

## **Training CNN with SMOTE**

Accuracy scores (test set)	Training and test data: Real patients (49)	Training data: Both simulated and real patients Binary classification (697)					
	Binary (38+11)	Both sim. and real patients in test-set	Only real patients in test-set				
CNN	80%	97%	66%				
Seems like the CNN performs worse on real patients after addition of smote, however since the test-set was randomized this might be due to chance.							



## **Full CNN architecture**

CNN was programmed in Pytorch based on example code for classification of MNIST. - visualized with Torchviz

- First convolution: 2d Convolution 16 kernels of size 5 -> LeakyReLU
- First maxpool: 2d maxpool kernel size 2
- Second convolution: 2d Convolution 32 kernels of size 5 -> LeakyReLU.
- Second maxpool: 2d maxpool size 2
- reshaped to batchsize x 288
- passed through NN with: 200 -> 50 nodes and either 4 or 1 output.
- Last activation function is Sigmoid



https://medium.com/@nutanbhogendrasharma/pytorch-convolutional-neural-network-with-mnist-dataset-4e8a4265e118



(100, 1)

#### **CNN "kernels" -** Plots of a single PCA passed through each layer

Most seem to accentuate shape of diagonal - which from looking at PCAs as images might be informative, however the trend is difficult to discern. A lot of the structure is visible in the second convolution as well

From left to right: First convolution, after first maxpool, after second convolution, after second maxpool.

Trained on real patients

Trained on real + sim



# **Appendix: Light GBM**

#### **Confusion matrix from LGBM models**

Train: Both sim. and real patients

Train: Both sim. and real patients Test: Both sim and real patients



Train: Sim. patients Test: Real patients



All are predicted as class 0, even though most of them really are class 1. They look more like the simulated class

## Boosted Decision Tree on real patients (49)

- LightGBM
- Nothing done about the very unbalanced distribution of patients in cancer subtype.
- Hyperparameter optimization by random search, 5 fold CV
- Resulted in max\_depth: 23, samples\_leaf: 72 and best accuracy score: 0.775
- Same for both multi-classification and binary classification
- Multi-classification ended up being binary, test set consisted of 9 samples, only 2 different classes represented.

#### Boosted Decision Tree on real patients (49)

- ROC curve shows that this classifier is extremely bad, it is guessing. This can be explained by the overrepresentation of high-grade serous patients
- Looking into the predictions, for both binary and multi-class the whole test set was predicted as high-grade serous



### Boosted Decision Tree on sim. and real patients (697)

- Binary classification with LightGBM
- Train and test: Both real and simulated patients
- Random search for hyperparameter-optimization
- Resulted in max\_depth: 23, samples\_leaf: 1 and best accuracy score: 0,979
- Accuracy on test set: 0,9856



## Why did the classification perform like this, LGBM

- To investigate: PCA on the input data for the LGBM
- Clear to see the real patients are very different from the simulated patients
- Have moved slightly away from the real patients in the simulation
- PCA on only simulated patients, no overlapping, therefore easy to differentiate and thereby classify.

To investigate the difference further:

- LGBM trained only on sim. patients, test set of only real patients, accuracy score = 22%
- Predicting all real patients as class "other"





# Appendix: Dimensionality reduction and clustering

## t-SNE hyper parameter optimization

Optimizing perplexity in the range from 50 to 225 - done on a subset of the data. A difference in the clustering is seen especially in the big cluster in the middle of the plots.



## t-SNE colored by different features

No apparent clustering is visible based on the different features

#### Discrete feature values



t-SNE mappings colored by some of the different meta data features

Some of them are more informative than others. Seems that the discrete values are more informative in this projection



### t-SNE on different number of patients (10 and 50)

The t-SNE projection had a hard time working on the complete dataset compared to a small fraction of the dataset - So we optimized on a reduced dataset - however we may need to rerun optimization to get as clear and informative clusters.



