Classification of data from Charge Coupled Devices

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## Data

## Data are energy pictures, in 2D showing traces of particles from space:

#### Data

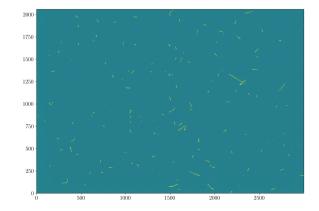
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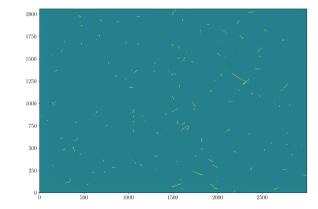
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Data are energy pictures, in 2D showing traces of particles from space: Project Purpose: To classify the particles faster than humans can, and possibly classify more difficult particles than we as humans can.



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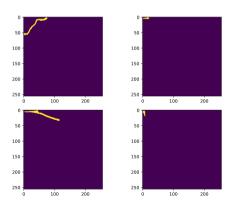
# We can perform binary clustering and padding, to get all clusters as binary 256 $\times$ 256 images:

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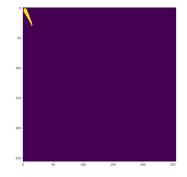
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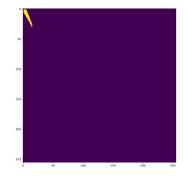
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• Images are quite large (256 X 256 pixels) where most images are nothing



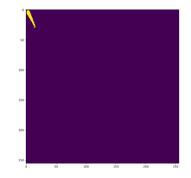
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- The labels we can give, are (perhaps) quite poor



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- Images are quite large (256 X 256 pixels) where most images are nothing
- Images are binary
- The labels we can give, are (perhaps) quite poor
- Solutions:



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- Images are quite large (256 X 256 pixels) where most images are nothing
- Images are binary
- The labels we can give, are (perhaps) quite poor
- Solutions:
  - Downscale the large images to 64 X 64 pixels<sup>a</sup>, pad the small images up to 64 X 64
  - Re-insert energy
  - Use self-supervised learning?



<sup>&</sup>lt;sup>a</sup>See appendix for explanation of downscaling

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## Supervised approach

## • Use CNN to classify the data

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## Supervised approach

- Use CNN to classify the data
- This requires labels. These are made manually by us (≈500)

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## Supervised approach

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# Self-Supervised approach

• Use variational auto-encoder and clustering on latent space

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# Supervised approach

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- Could show us more than we can predict

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# Supervised approach

- Use CNN to classify the data
- This requires labels. These are made manually by us (≈500)
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- Use variational auto-encoder and clustering on latent space
- Could show us more than we can predict
- Does not need labels
- $\bullet \ \mathsf{Experimental} \Leftrightarrow \mathsf{Might} \ \mathsf{not} \ \mathsf{work}$

## Supervised classification

# Use Convolutional Neural Network, with a categorical cross entropy loss function. Quick run down of CNNs:

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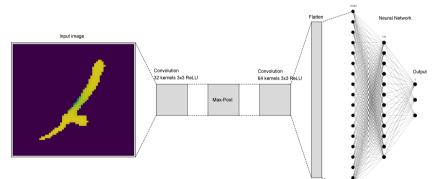
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# Our CNN

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- Built in Tensorflow
- Hyper parameters optimized with optuna (Bayesian optimization)



## Results from Supervised Classification

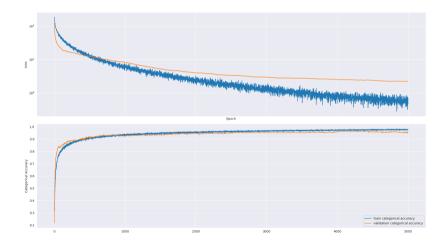
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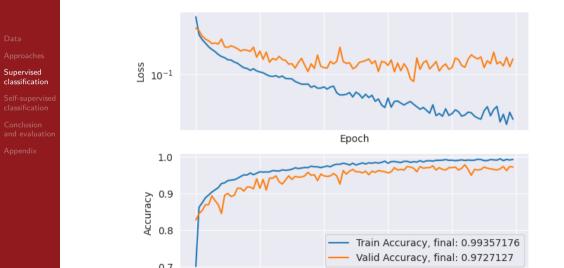
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## Results from Supervised Classification



## Results from Supervised Classification

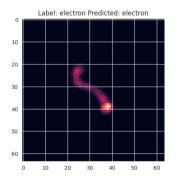


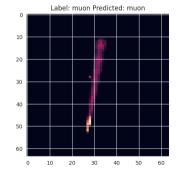
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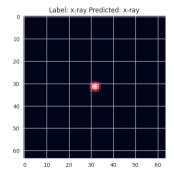
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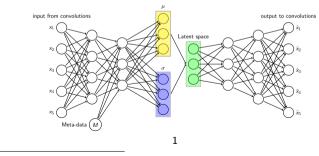




## Self-supervised classification

Use variational auto-encoder via a convolutional neural network and finally umap cluster on the latent space:

- Parameters for convolutions initially based on promising results from the CNN
- Meta data (Width, Height, Number of pixels, sum of those pixels) fed into the second layer of the neural network



<sup>1</sup>adapted from: https://tikz.net/vae/

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# Variational layers

## Pseudo-code:

- Encode data to latent space
- Pass latent space to linear layer that returns array of means
- pass latent space to linear layer that array of variances
- return an array of means with Gaussian noise based on variances

```
Code (PyTorch):
```

#### ### Variational par

self.variational\_mean = nn.Linear(encoded\_space\_dim, encoded\_space\_dim)
self.variational\_var = nn.Linear(encoded\_space\_dim, encoded\_space\_dim)

```
def reparameterization(self, mean, var): # Stolen from MNIST example, devic
epsilon = torch.randn_like(var).cpu()
z = mean + var * epsilon
reture z
```

```
def forward(self, x):
    mCNW and removal of meta data:
    meta = x[-4:]
    x = x[:-4].unflatten(0, (1, 1, 64, 64))
    x, indices = self.encoder_cnn(x) # Capture indices from MaxPool2d
    x = self.encoder_lin_pic(x)
    x = self.encoder_lin_pic(x)
```

```
#ndd meta data and encode:
y = torch.cat((x, meta), dim=0)
x = self.encoder_lin_y meta(y)
mean = self.variational_mean(x)
log_var = self.variational_war(x)
z = self.reparameterization(mean, torch.exp(0.5 * log_var))
return z, mean.log var. indices
```

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## Loss

space

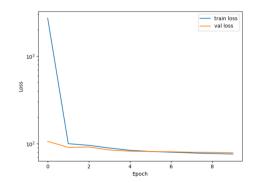
 $\mathcal{L}_{\mathsf{VAE}} = \mathcal{L}_{\mathsf{BCE}} + \lambda \mathcal{L}_{\mathsf{KL}}, \qquad \mathcal{L}_{\mathsf{KL}} = -\frac{1}{2} \sum_{n} \left( 1 + \log \sigma_n^2 - \mu_n^2 - \sigma_n^2 \right)$ 

second term is the Kullback-Leibler (KL) divergence, that enforces a Gaussian latent

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## Results from our variational auto-encoder

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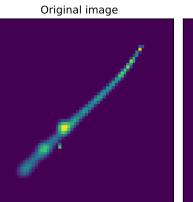
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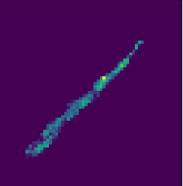
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#### **Reconstructed** image



## Dimensionality reduction and clustering of the latent space

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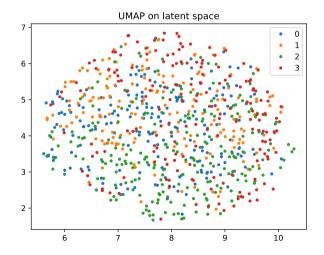
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## Dimensionality reduction and clustering of the latent space

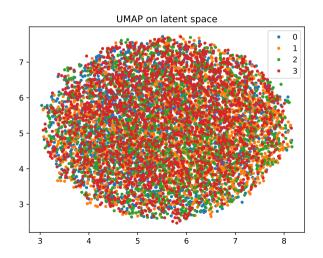


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## Result from single cluster

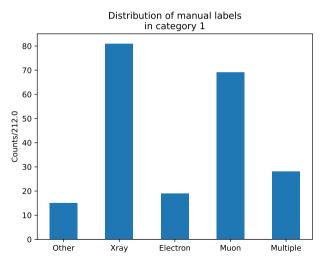


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CNN accurately categorises the samples, but only as well as we could.

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CNN accurately categorises the samples, but only as well as we could.

VAE via CNN can cluster the latent space, and finds clusters that are not that great.

## Evaluation of models

## CNN:

Started working quite fast Reached a high accuracy Does not provide any new information/ can only predict what we saw VAE via CNN:

Took a long time to get working (i.e many issues with preprocessing and long training time)

Clustered based on directions at best. Might get better with more training.

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Project statement: All authors contributed equally. Github: https://github.com/AsbjornPreuss/AppMLFinalProject

## Hyperparameters CNN

Hyperparameter name	Parameter space	Final value
N_conv_layers	1-3	2
N_dense_layers	1-3	3
dropout_rate	0-0.5	0.4981
conv_filter_exp0	3-7	6
conv_kernel_size0	2-5	2
conv_filter_exp1	3-7	4
conv_kernel_size1	2-5	5
dense_units_exp0	3-5	4
dense_units_exp1	3-5	3
adam_learning_rate	1e-7-1e-1	0.0003676
adam_decay_steps	100-10000	3866
adam_decay_rate	0.8-1.0	0.9122

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## Hyperparameters CNN

N_conv_layers	3
N_dense_layers	3
dropout_rate	0.427
filters_layer0	41
filter_size_layer0	6
stride_layer0	1
padding_layer0	3
filters_layer1	49
filter_size_layer1	3
stride_layer1	1
padding_layer1	2
filters_layer2	9
filter_size_layer2	7
stride_layer2	2
padding_layer2	2

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## Hyperparameters VAE via CNN

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No hyperparameter optimization was reached. This is the hyperparameters we manually chose.

Encoder:

Decoder:

Final value
0.001
50
12X12
6X6
43264X200
204X50
Final value
0.001
50
12X12
6X6
200X43264
50X200

## Preprocessing algorithms

Depth first algorithm:

- Make data binary by threshold
- Scan for values, starting from upper left corner
- When a value is found, search neighbours to see if they have a value (larger than background noise)
- If the neighbour has a value, add them to the cluster
- Go to each neighbour in the cluster, and scan their neighbours
- Repeat until no more neighbours with values are found
- Go back and keep on scanning the image, whilst ignoring the ones that are already assigned a cluster.
- Save height, width, size (in pixels) and summed value of the pixels in the original image (an estimate for total energy) for meta-data

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# Downscaling

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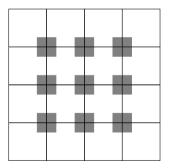
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The downscaling of images was done by averaging four nearest neighbours, thus reducing the image sizes with 1 along both axes.

This is done recursively, allowing for the images to be scaled to the required size.



## Some thoughts on Convolutions in PyTorch

Debugging within training is difficult in PyTorch. The functions within an encoder can be accessed any time, this is hopefully a helpful snippet for debugging:

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# encoder: A = nn.Conv2d(1, 32, 3, stride=1, padding=1) B = nn.Conv2d(32, 64 , 3, stride=1, padding=1) C = nn.MaxPool2d(2) # flatten D = nn.Flatten() # linear E = nn.Linear(65536, 128) F = nn.Linear(128, 10) print(f'original data: {x.shape}') print('encoder:')

print(f'Conv1: (A(x).shape)')
print(f'Conv2: {B(A(x)).shape}')
print(f'MaxPool: [C(B(A(x))).shape]')
print(f'Flatten: [D(C(B(A(x)))).shape]')
print(f'Linear1: {E(D(C(B(A(x)))).shape]')
print(f'Linear2: {F(E(D(C(B(A(x))))).shape]')